| General information about company | | | | | | |
|--|---|--|--|--|--|--|
| Scrip code | 530723 | | | | | |
| Name of the company | ASIT C MEHTA FINANCIAL SERVICES LIMITED | | | | | |
| Whether company is SME | No | | | | | |
| Class of Security | Equity Shares | | | | | |
| Type of report | Quarterly | | | | | |
| Quarter Ended / Half year ended/Date of Report (For Prelisting / Allotment) | 30-09-2016 | | | | | |
| Date of allotment / extinguishment (in case Capital Restructuring selected) / Listing Date | | | | | | |
| Shareholding pattern filed under | Regulation 31 (1) (b) | | | | | |

| Sr. No. | Particular | Yes/No |
|---------|--|--------|
| 1 | Whether the Listed Entity has issued any partly paid up shares? | No |
| 2 | Whether the Listed Entity has issued any Convertible Securities ? | No |
| 3 | Whether the Listed Entity has issued any Warrants? | No |
| 4 | Whether the Listed Entity has any shares against which depository receipts are issued? | No |
| 5 | Whether the Listed Entity has any shares in locked-in? | No |
| 6 | Whether any shares held by promoters are pledge or otherwise encumbered? | No |
| 7 | Whether company has equity shares with differential voting rights? | No |

| | Table I - Summary Statement holding of specified securities | | | | | | | | | | |
|--------------|---|----------------------------------|-----------------------------------|-----------------------------|--------------------------|---|---|------------------------|---------------|---------|------------|
| | | | No. of | No. Of Partly | No. Of shares | Total nos. shares held (VII) = (IV)+ | Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) | Number of selass of se | eld in each | | |
| Category (I) | Category of shareholder (II) | Nos. Of shareholders (III) | fully paid up equity shares | paid-up equity shares | underlying Depository | | | No of Vo Rights | ting (X | IV) | Total as a |
| | | | held (IV) | held (V) | held Receipts | | (VIII) As a % of (A+B+C2) | Class eg: X | Class eg:y | Total | (A+B+C) |
| (A) | Promoter & Promoter Group | 9 | 3693786 | | | 3693786 | 74.58 | 3693786 | | 3693786 | 74.58 |
| (B) | Public | 1142 | 1258774 | | | 1258774 | 25.42 | 1258774 | | 1258774 | 25.42 |
| (C) | Non Promoter- Non Public | | | | | | | | | | |
| (C1) | Shares underlying DRs | | | | | | | | | | |
| (C2) | Shares held by Employee Trusts | | | | | | | | | | |
| | Total | 1151 | 4952560 | | | 4952560 | 100 | 4952560 | | 4952560 | 100 |

| | Table I - Summary Statement holding of specified securities | | | | | | | | | | |
|------|---|------------------------------------|--|---|---|--|--|---------------------------------|--|---------|---|
| | Category of shareholder (II) No. Of Shares Underlying Outstanding convertible securities (X) | Category of shareholder Underlying | Shares Underlying | No. of Unicon Shares Underlying co | No. Of Shares Underlying Outstanding convertible | Shareholding, as a % assuming full conversion of convertible securities (as a | Number of Locked in shares (XII) | | Number of Shares pledged or otherwise encumbered (XIII) | | Number of equity shares held in dematerialized form (XIV) |
| | | Outstanding Warrants (Xi) | securities and No. Of Warrants (Xi) (a) | percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2) | No. (a) | As a % of total Shares held (b) | No. (a) | As a % of total Shares held (b) | | | |
| (A) | Promoter & Promoter Group | | | | 74.58 | | | | | 3693786 | |
| (B) | Public | | | | 25.42 | | | | | 1131192 | |
| (C) | Non Promoter- Non Public | | | | | | | | | | |
| (C1) | Shares underlying DRs | | | | | | | | | | |
| (C2) | Shares held by Employee Trusts | | | | | | | | | | |
| | Total | | | | 100 | | | | | 4824978 | |

| 7 | Γable II - Staten | nent showi | ng share | holdin | g pattern | of the Pr | omoter and | Promot | ter Gr | oup | |
|---|---|----------------------------------|-----------------------------|-----------------------|--|--|---|---|---------------|---------|---------------------------|
| | | | No. of fully | No. Of Partly | No. Of | Total nos. shares held (VII) = | Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) | Number of Voting Rights held in each class of securities (IX) | | | |
| Sr. | Category & Name of the Shareholders (I) | Nos. Of shareholders (III) | paid up equity shares | paid- up equity | shares underlying Depository Receipts | | | No of Voting (XIV) Rights | | IV) | Total as a % of |
| | | | held (IV) | shares held (V) | (VI) | (IV)+(V) + (VI) | As a % of (A+B+C2) | Class eg: X | Class eg:y | Total | Total Voting rights |
| A | Table II - Statement | showing share | eholding pa | ttern of t | he Promoter a | nd Promote | er Group | | | | |
| (1) | Indian | | | | | | | | | | |
| (a) | Individuals/Hindu undivided Family | 7 | 3279416 | | | 3279416 | 66.22 | 3279416 | | 3279416 | 66.22 |
| (d) | Any Other (specify) | 2 | 414370 | | | 414370 | 8.37 | 414370 | | 414370 | 8.37 |
| Sub-Total (A) (1) | | 9 | 3693786 | | | 3693786 | 74.58 | 3693786 | | 3693786 | 74.58 |
| (2) | Foreign | | • | | | | • | • | • | • | |
| Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A) (2) | | 9 | 3693786 | | | 3693786 | 74.58 | 3693786 | | 3693786 | 74.58 |
| В | Table III - Statemen | t showing shar | eholding pa | attern of | the Public sha | reholder | | | | | |
| (1) | Institutions | | | | | | | | | | |
| (3) | Non-institutions | | | | | | | | | | |
| (a(i)) | Individuals - i.Individual shareholders holding nominal share capital up to Rs. 2 lakhs. | 1051 | 496014 | | | 496014 | 10.02 | 496014 | | 496014 | 10.02 |
| (a(ii)) | Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs. | 9 | 530928 | | | 530928 | 10.72 | 530928 | | 530928 | 10.72 |
| (e) | Any Other (specify) | 82 | 231832 | | | 231832 | 4.68 | 231832 | | 231832 | 4.68 |
| Sub-Total (B) (3) | | 1142 | 1258774 | | | 1258774 | 25.42 | 1258774 | | 1258774 | 25.42 |
| Total Public Shareholding (B)=(B)(1)+ (B)(2)+(B)(3) | | 1142 | 1258774 | | | 1258774 | 25.42 | 1258774 | | 1258774 | 25.42 |
| С | Table IV - Statemen | nt showing shar | eholding pa | attern of | the Non Prom | noter- Non 1 | Public sharehold | er | | | |
| Total (A+B+C2) | | 1151 | 4952560 | | | 4952560 | 100 | 4952560 | | 4952560 | 100 |
| Total (A+B+C) | | 1151 | 4952560 | | | 4952560 | 100 | 4952560 | | 4952560 | 100 |

| Tal | ble II - Staten | nent showing | g shareholding | g pattern of the Pro | omo | ter and | Pron | noter Gr | oup |
|--|---|---|---|--|--|---------------------------------|--|---------------------------------|---------------------------------|
| Sr. | No. Of Shares Underlying Outstanding convertible securities (X) | No. of Shares Underlying Outstanding Warrants (Xi) | No. Of Shares Underlying Outstanding convertible securities and | Shareholding, as a % assuming full conversion of convertible securities (as a | Number of Locked in shares (XII) | | Number of Shares pledged or otherwise encumbered (XIII) | | Number of equity shares held in |
| | | | No. Of Warrants (Xi) (a) | percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2) | No. (a) | As a % of total Shares held (b) | No. (a) | As a % of total Shares held (b) | dematerialized form (XIV) |
| A | Table II - States | ment showing sl | nareholding patter | rn of the Promoter and I | Promo | ter Group | , | • | |
| (1) | Indian | | | | | | | | |
| (a) | | | | 66.22 | | | | | 3279416 |
| (d) | | | | 8.37 | | | | | 414370 |
| Sub-Total (A) (1) | | | | 74.58 | | | | | 3693786 |
| (2) | Foreign | | | | | • | | • | • |
| Total Shareholding of Promoter and Promoter Group (A)=(A) (1)+(A)(2) | | | | 74.58 | | | | | 3693786 |
| В | Table III - State | ement showing s | hareholding patte | ern of the Public shareho | older | | | | • |
| (1) | Institutions | | | | | | | | |
| (3) | Non-institution | S | | | | | | | |
| (a(i)) | | | | 10.02 | | | | | 370932 |
| (a(ii)) | | | | 10.72 | | | | | 530928 |
| (e) | | | | 4.68 | | | | | 229332 |
| Sub-Total (B) (3) | | | | 25.42 | | | | | 1131192 |
| Total Public Shareholding (B)=(B)(1)+(B) (2)+(B)(3) | | | | 25.42 | | | | | 1131192 |
| С | Table IV - State | ement showing s | shareholding patte | ern of the Non Promoter | r- Nor | Public s | hareho | older | |
| Total (A+B+C2) | | | | 100 | | | | | 4824978 |
| Total (A+B+C) | | | | 100 | | | | | 4824978 |

| | | Indiv | iduals/Hindu | Individuals/Hindu undivided Family | | | | | | | |
|---|-------------------------|---------------------|-----------------------------|------------------------------------|-----------------------|----------------------|----------------|--|--|--|--|
| Searial No. | 1 | 2 | 3 | 4 | 5 | 6 | 7 | | | | |
| Name of the Shareholders (I) | Asit Chimanlal Mehta | Deena Asit Mehta | Asit Chimanlal Mehta Huf | Aditya Asit Mehta | Jayesh T Desai Huf | Gopa Jayesh Desai | Rupa Atul Shah | | | | |
| PAN (II) | AAAPM9388F | AABPM6683L | AACHA7235D | AKRPM6427C | AACHJ7944N | ADZPD1051E | AAHPM2549M | | | | |
| No. of fully paid up equity shares held (IV) | 2284138 | 900358 | 77000 | 7400 | 7120 | 1900 | 1500 | | | | |
| No. Of Partly paid- up equity shares held (V) | | | | | | | | | | | |
| No. Of shares underlying Depository Receipts (VI) | | | | | | | | | | | |
| Total nos. shares held (VII) = (IV)+ (V)+ (VI) | 2284138 | 900358 | 77000 | 7400 | 7120 | 1900 | 1500 | | | | |
| Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | 46.12 | 18.18 | 1.55 | 0.15 | 0.14 | 0.04 | 0.03 | | | | |
| Number of Voting | Rights held in each | ch class of securit | ties (IX) | | | | | | | | |
| Class eg:X | 2284138 | 900358 | 77000 | 7400 | 7120 | 1900 | 1500 | | | | |
| Class eg:y | | | | | | | | | | | |
| Total | 2284138 | 900358 | 77000 | 7400 | 7120 | 1900 | 1500 | | | | |
| Total as a % of Total Voting rights | 46.12 | 18.18 | 1.55 | 0.15 | 0.14 | 0.04 | 0.03 | | | | |
| No. Of Shares Underlying Outstanding convertible securities (X) | | | | | | | | | | | |
| No. of Shares Underlying Outstanding Warrants (Xi) | | | | | | | | | | | |
| No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a) | | | | | | | | | | | |
| Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII) +(Xi)(a) As a % of (A+B+C2) | 46.12 | 18.18 | 1.55 | 0.15 | 0.14 | 0.04 | 0.03 | | | | |
| Number of Locked | in shares (XII) | | 1 | | | | | | | | |
| No. (a) | | | | | | | | | | | |
| As a % of total Shares held (b) | | | | | | | | | | | |
| Number of Shares | pledged or otherw | vise encumbered | (XIII) | | | | • | | | | |
| No. (a) | | | | | | | | | | | |
| As a % of total | | | | | | | | | | | |

| Number of equity shares held in dematerialized form (XIV) | 2284138 | 900358 | 77000 | 7400 | 7120 | 1900 | 1500 | |
|--|------------------------------|--------|-------|------|------|------|------|--|
| Reason for not pro | Reason for not providing PAN | | | | | | | |
| Reason for not providing PAN | | | | | | | | |

| | Individuals/Hindu undivided Family |
|---|--|
| Searial No. | |
| Name of the Shareholders (I) | Click here to go back |
| PAN (II) | Total |
| No. of fully paid up equity shares held (IV) | 3279416 |
| No. Of Partly paid- up equity shares held (V) | |
| No. Of shares underlying Depository Receipts (VI) | |
| Total nos. shares held (VII) = (IV)+ (V)+ (VI) | 3279416 |
| Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | 66.22 |
| Number of Voting | Rights held in each class of securities (IX) |
| Class eg:X | 3279416 |
| Class eg:y | |
| Total | 3279416 |
| Total as a % of Total Voting rights | 66.22 |
| No. Of Shares Underlying Outstanding convertible securities (X) | |
| No. of Shares Underlying Outstanding Warrants (Xi) | |
| No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a) | |
| Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII) +(Xi)(a) As a % of (A+B+C2) | 66.22 |
| Number of Locked | in shares (XII) |
| No. (a) | |
| As a % of total Shares held (b) | |
| Number of Shares | pledged or otherwise encumbered (XIII) |
| No. (a) | |
| As a % of total Shares held (b) | |

| Number of equity shares held in dematerialized form (XIV) | 3279416 |
|--|------------|
| Reason for not prov | riding PAN |
| Reason for not providing PAN | |

| Any Other (specify) | | | | | | |
|--|--|--------------------------------|-----------------------|--|--|--|
| Searial No. | 1 | 2 | | | | |
| Category | Bodies Corporate | Bodies Corporate | Click here to go back | | | |
| Name of the Shareholders (I) | Asit C Mehta Commodity Services Ltd | Asit C Mehta Forex Private Ltd | | | | |
| PAN (II) | AAACB2315A | AAACU0778J | Total | | | |
| No. of the Shareholders (I) | 1 | 1 | 2 | | | |
| No. of fully paid up equity shares held (IV) | 400470 | 13900 | 414370 | | | |
| No. Of Partly paid- up equity shares held (V) | | | | | | |
| No. Of shares underlying Depository Receipts (VI) | | | | | | |
| Total nos. shares held (VII) = (IV)+ (V)+ (VI) | 400470 | 13900 | 414370 | | | |
| Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | 8.09 | 0.28 | 8.37 | | | |
| Number of Voting | Rights held in each class of securities (IX) | · | | | | |
| Class eg: X | 400470 | 13900 | 414370 | | | |
| Class eg:y | | | | | | |
| Total | 400470 | 13900 | 414370 | | | |
| Total as a % of Total Voting rights | 8.09 | 0.28 | 8.37 | | | |
| No. Of Shares Underlying Outstanding convertible securities (X) | | | | | | |
| No. of Shares Underlying Outstanding Warrants (Xi) | | | | | | |
| No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a) | | | | | | |
| Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII) +(X) As a % of (A+B+C2) | 8.09 | 0.28 | 8.37 | | | |
| Number of Locked | in shares (XII) | - | 1 | | | |
| No. (a) | | | | | | |
| As a % of total | | | | | | |
| Shares held (b) | | | | | | |

| Number of Shares pledged or otherwise encumbered (XIII) | | | | | | | |
|--|--------|-------|--------|--|--|--|--|
| No. (a) | | | | | | | |
| As a % of total Shares held (b) | | | | | | | |
| Number of equity shares held in dematerialized form (XIV) | 400470 | 13900 | 414370 | | | | |
| Reason for not providing PAN | | | | | | | |

| Searial No. | 1 | 2 | 3 | 4 | |
|--|-----------------------------|-------------------|----------------|---------------------|-----------------------|
| Name of the Shareholders (I) | Purvi Ramesh Ambani | Trupti K Karani | Ketan J Karani | Anshul Ketan Karani | Click here to go back |
| PAN (II) | AAGPA0091F | AOAPK2535E | AMAPK8243M | CQEPK4239H | Total |
| No. of fully paid up equity shares held (IV) | 118985 | 113014 | 101000 | 50000 | 382999 |
| No. Of Partly paid- up equity shares held (V) | | | | | |
| No. Of shares underlying Depository Receipts (VI) | | | | | |
| Total nos. shares held (VII) = (IV)+ (V)+ (VI) | 118985 | 113014 | 101000 | 50000 | 382999 |
| Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | 2.4 | 2.28 | 2.04 | 1.01 | 7.73 |
| Number of Voting | Rights held in each class o | f securities (IX) | <u>.</u> | | |
| Class eg: X | 118985 | 113014 | 101000 | 50000 | 382999 |
| Class eg:y | | | | | |
| Total | 118985 | 113014 | 101000 | 50000 | 382999 |
| Total as a % of Total Voting rights | 2.4 | 2.28 | 2.04 | 1.01 | 7.73 |
| No. Of Shares Underlying Outstanding convertible securities (X) | | | | | |
| No. of Shares Underlying Outstanding Warrants (Xi) | | | | | |
| No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a) | | | | | |
| Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII) +(X) As a % of (A+B+C2) | 2.4 | 2.28 | 2.04 | 1.01 | 7.73 |
| Number of Locked | in shares (XII) | | | | |
| No. (a) | | | | | |
| As a % of total Shares held (b) | | | | | |
| Number of equity shares held in dematerialized form (XIV) | 118985 | 113014 | 101000 | 50000 | 382999 |

| Reason for not | | | |
|----------------|--|--|--|
| providing PAN | | | |

| | | | An | y Other (speci | ify) | |
|--|-------------|---------------------|-----------------|---------------------|--|---------------------|
| Searial No. | 1 | 2 | 3 | 4 | 5 | 6 |
| Category | HUF | NRI – Non- Repat | NRI – Repat | Clearing Members | Bodies Corporate | Bodies Corporate |
| Category / More than 1 percentage | Category | Category | Category | Category | More than 1 percentage of shareholding | Category |
| Name of the Shareholders (I) | | | | | Suman Marble Industries Private Limited | |
| PAN (II) | | | | | AAFCS3350P | |
| No. of the Shareholders (I) | 31 | 3 | 2 | 18 | 1 | 27 |
| No. of fully paid up equity shares held (IV) | 29346 | 11411 | 1005 | 21689 | 50000 | 118381 |
| No. Of Partly paid- up equity shares held (V) | | | | | | |
| No. Of shares underlying Depository Receipts (VI) | | | | | | |
| Total nos. shares held (VII) = (IV)+ (V)+ (VI) | 29346 | 11411 | 1005 | 21689 | 50000 | 118381 |
| Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | 0.59 | 0.23 | 0.02 | 0.44 | 1.01 | 2.39 |
| Number of Voting | Rights held | d in each class of | securities (IX) | 1 | | |
| Class eg: X | 29346 | 11411 | 1005 | 21689 | 50000 | 118381 |
| Class eg:y | | | | | | |
| Total | 29346 | 11411 | 1005 | 21689 | 50000 | 118381 |
| Total as a % of Total Voting rights | 0.59 | 0.23 | 0.02 | 0.44 | 1.01 | 2.39 |
| No. Of Shares Underlying Outstanding convertible securities (X) | | | | | | |
| | | | | | | |
| No. of Shares Underlying Outstanding Warrants (Xi) | | | | | | |
| Underlying Outstanding | | | | | | |
| Underlying Outstanding Warrants (Xi) No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) | 0.59 | 0.23 | 0.02 | 0.44 | 1.01 | 2.39 |
| Underlying Outstanding Warrants (Xi) No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a) Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII) +(X) As a % of | | | 0.02 | 0.44 | 1.01 | 2.39 |

| As a % of total Shares held (b) | | | | | | |
|--|-------|-------|------|-------|-------|--------|
| Number of equity shares held in dematerialized form (XIV) | 29346 | 11411 | 1005 | 21689 | 50000 | 115881 |
| Reason for not providing PAN | | | | | | |
| Reason for not providing PAN | | | | | | |

| | Any Other (specify) |
|--|--|
| Searial No. | |
| Category | |
| Category / More than 1 percentage | |
| Name of the Shareholders (I) | Click here to go back |
| PAN (II) | Total |
| No. of the Shareholders (I) | 81 |
| No. of fully paid up equity shares held (IV) | 181832 |
| No. Of Partly paid- up equity shares held (V) | |
| No. Of shares underlying Depository Receipts (VI) | |
| Total nos. shares held (VII) = (IV)+ (V)+ (VI) | 181832 |
| Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | 3.67 |
| Number of Voting | Rights held in each class of securities (IX) |
| Class eg: X | 181832 |
| Class eg:y | |
| Total | 181832 |
| Total as a % of Total Voting rights | 3.67 |
| No. Of Shares Underlying Outstanding convertible securities (X) | |
| No. of Shares Underlying Outstanding Warrants (Xi) | |
| No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a) | |
| Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII) +(X) As a % of (A+B+C2) | 3.67 |
| Number of Locked | in shares (XII) |
| No. (a) | |
| | |

| As a % of total Shares held (b) | |
|--|------------|
| Number of equity shares held in dematerialized form (XIV) | 179332 |
| Reason for not pro- | viding PAN |
| Reason for not providing PAN | |